

MANUAL FOR BLAKE CONNECT (PROPRIETARY) LTD

(Registration number 2011/137092/07)

In accordance with Section 51 of the Promotion of Access to Information Act No 2 of 2000
("the Act")

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A. Introduction to Blake Connect (Pty) Ltd

Blake Connect (Pty) Ltd is an authorised Financial Services Provider with FSP number 44890.

The manual is to assist potential requesters as to the procedure to be followed when requesting access to information / documents from Blake Connect (Pty) Ltd as contemplated in terms of the Act.

The manual may be amended from time to time and as soon as any amendments have been finalised the latest version of the manual will be made public.

Any requester is advised to contact our Information Officer(s) should he / she require any assistance in respect of the utilisation of this manual and/or requesting of documents / information from Blake Connect.

The following words will bear the following meaning in this manual:-

- | | |
|-------------------|--|
| "The Act" | shall mean the Promotion of Access to Information Act, No 2 of 2000, together with all relevant regulations published. |
| "the/this manual" | shall mean this manual together with all annexures thereto as available at the offices of Blake Connect from time to time. |
| "Blake Connect" | shall mean Blake Connect (Proprietary) Limited. |
| "SAHRC" | shall mean the South African Human Rights Commission. |

“Requester” shall mean the person requesting a requesting a record.

“The FSP” shall mean a private body that provides financial advice and renders intermediary services to clients under a license issued in terms of the Financial Advisory and Intermediary Services Act 37 of 2002.

Blake Connect (Pty) Ltd is a subsidiary of Blake and Associates Holdings (Pty) Ltd. Blake and Associates Holdings (Pty) Ltd is a partially owned subsidiary of Gamiro Ventures (Pty) Ltd. The subsidiaries of Blake and Associates Holdings (Pty) Ltd are Blake Connect (Pty) Ltd., Blake and Associates (Pty) Ltd, Metonymy (Pty) Ltd trading as Traceps, Traq Software (Pty) Ltd trading as Interactive Solutions, Ctrlroom (Pty) Ltd, , Maravedi Credit Solutions (Pty) Ltd, Retail Collection Services (Pty) Ltd (dormant), Blake and Associates Offshoring (Pty) Ltd trading as Bastian (dormant), Mala Investments (Pty) Ltd (Botswana) (dormant)

Blake is a private body as defined in the Act.

B. Particulars in terms of the section 51 manual

1. Contact details (in terms of Section 51(1)(a) of the Act)

Blake Connect (Pty) Ltd	
Chief Executive Officer:	Andre Bezuidenhout
Information Officer:	Andre Bezuidenhout
Street Address:	32 Flanders Drive, Mount Edgecombe
Postal Address:	Private Bag X27, Umhlanga Rocks, 4320
Telephone:	+ 27 31-538-4000
Fax:	+ 27 86 673 9960
Website:	www.blake.co.za
E-mail:	paia@blake.co.za

2. The section 10 Guide on how to use the Act (in terms of Section 51(1)(b))

In terms of section 10 of the Act, the SAHRC is required to compile, in each official language, a guide to the Act to assist people in exercising their rights under the Act. The SAHRC may be contacted at:

The South African Human Rights Commission (SAHRC):

PAIA Unit

The Research and Documentation Department

Postal address: Private Bag 2700
Houghton
2041

Telephone: +27 11 484-8300
Fax: +27 11 484-0582
Website: www.sahrc.org.za
E-mail: PAIA@sahrc.org.za

3. Records available in terms of any other legislation (in terms of Section 51(1)(d))

Blake keeps information / documents in accordance with the following legislation (please note that this is not an exhaustive list): -

1. Basic Conditions of Employment No.75 of 1997
2. BBBEE Act No. 46 of 2013
3. Companies Act No.71 of 2008
4. Compensation for Occupational Injuries and Health Diseases Act No.130 of 1993
5. Consumer Protection Act No.68 of 2008
6. Debt Collectors Act No.114 of 1998
7. Employment Equity Act No.55 of 1998
8. Financial Advisory and Intermediary Services Act No.37 of 2002
9. Financial Intelligence Centre Act No.38 of 2001
10. Financial Services Board Act No.97 of 1990
11. Financial Services Laws General Amendment Act No.45 of 2013
12. Financial Services Ombud Schemes Act No.37 of 2004
13. Income Tax Act No.95 of 1967
14. Insurance Laws Amendment Act No.27 of 2008
15. Labour Relations Act No.66 of 1995
16. Long Term Insurance Act No.52 of 1998
17. Occupational Health & Safety Act No.85 of 1993
18. Pensions Funds Act No.24 of 1956
19. Prevention of Organised Crime Act No.121 of 1998
20. Promotion of Access to Information Act No.2 of 2000
21. Protection of Constitutional Democracy against Terrorist and Related Activities Act No.33 of 2004
22. Protection of Personal Information Act No.4 of 2013
23. Short Term Insurance Act No.53 of 1998
24. Skills Development Levies Act No.9 of 1999
25. Skills Development Act No.97 of 1998
26. Unemployment Contributions Act No.4 of 2002
27. Unemployment Insurance Act No.63 of 2001
28. Value Added Tax Act No.89 of 1991.

4. Gaining access to records held by Blake Connect (in terms of Sections 51(1)(c) and 51(1)(e)).

4.1 What categories of records are, or will be, automatically available without you having to request access to these records in terms of the Act?

The Act provides for the automatic disclosure of certain records. Should records be automatically disclosed, you will not have to formally request these records in terms of the Act.

In terms of the Act, this automatic disclosure by private companies is voluntary. This means that a private company is not obliged to make such disclosure. If a private company chooses to make such voluntary disclosure, it may do so by giving notice thereof in terms of Section 52(2) of the Act.

4.2 What records can you request? (in terms of Section 51(1)e))

Requests for records are to be forwarded to our Information Officer as follows:

Blake Connect (Pty) Limited

Street Address: 32 Flanders Drive, Mount Edgecombe
Postal Address: Private Bag X27, Umhlanga Rocks, 4320
Telephone: +2731-538-4000
Fax: +27866739960
Website: www.blake.co.za
Email: paia@blake.co.za

Records that may be requested are set out in the following categories and types:

CATEGORIES & TYPES OF RECORDS HELD BY BLAKE CONNECT

1. FSP Records	<ul style="list-style-type: none">▪ Complaints Resolution Policy▪ Compliance and Risk▪ Compliance Reports▪ Conflict of Interest Policy▪ Contractual Agreements▪ Documents of registration▪ FICA Manual▪ Human Resources▪ Key Individual▪ Licence Certificates▪ Management▪ Operational records▪ Professional Indemnity Schedule▪ Strategy▪ Technology▪ FAIS and FICA related policies, procedures and processes
2. Financial Records	<ul style="list-style-type: none">▪ Accounting Records▪ Assets Inventory▪ Financial Statements
3. Income Tax Records	<ul style="list-style-type: none">▪ PAYE Records▪ SARS Inventory
4. Personnel Documents and Records	<ul style="list-style-type: none">▪ Any personal records provided to the FSP by their personnel▪ Any records a third party has provided to the FSP about any of their personnel▪ Conditions of employment and other personnel-related contractual and quasi-legal records▪ Disciplinary records

	<ul style="list-style-type: none"> ▪ Employment contracts ▪ Incentive Register ▪ Leave records ▪ Medical Aid records ▪ Other internal records and correspondence ▪ Salary records ▪ Training Manuals ▪ Training records
5. Customer Records	<ul style="list-style-type: none"> ▪ Correspondences ▪ Intermediary Disclosure ▪ Other Compliance documents

4.3 How do you request records?

When requesting a record, the following procedures must be followed.

- You must use the prescribed form to make the request for access to a record. (You can find the form at the end of this manual).
- Your request must be made to our Information Officer.
- Your request must be made to the address, or fax number or electronic mail address as set out under 1 above.
- You must provide sufficient detail on the request form to enable the Information Officer to clearly identify the record as well as your specific identity.
- You must also indicate which form of access you require.
- You must also indicate if you wish to be informed in any other manner and if so, you must state the necessary particulars required to be so informed.
- You must identify the right that you are seeking to protect or that you wish to exercise and you must provide an explanation as to why the requested record is required for the protection or exercise of that right.
- If you are making the request on behalf of another person, you must submit proof, to the satisfaction of the Information Officer, of the capacity in which you are making the request.
- The prescribed fee (if any) must be paid as set out in 7 below.

5. Other information as may be prescribed (in terms of Section 51(1)(f))

In terms of this Section, the Minister may publish a notice prescribing any other information that companies will have to disclose. The Minister has not yet published this Notice.

6. Availability of the manual (in terms of Section 51(3))

6.1 This manual is available for inspection during office hours, at no cost, at our offices.

6.2 Should you wish to obtain a copy of this manual, or part thereof, such copy can be obtained from either:

6.2.1 Our head office, at the prescribed fee as set out in Item 1 of Part III of the Fee Schedule; or

6.2.2 it can be downloaded from our website at www.blake.co.za

7. Prescribed fees

- Once your request is made, you will receive notice from us to pay the prescribed fee of R50.00.
- This prescribed fee must be paid before your request will be processed.
- Payment of this fee is to be made as directed by the Information Officer.
- If you are making a request in your personal capacity, payment of the prescribed fee of R50.00 may not be required.
- We will then make a decision in respect of your request and will notify you of our decision
- Should your request be refused, you may lodge an application at court against the tender or payment of the requested fee as will be advised in the Notice to you (in terms of (sec 54(3)(b) of the Act).
- If your request is granted then you will have to pay a further access fee for the search, reproduction and preparation of the record as well as for any time, that has exceeded the prescribed hours, to search and in order prepare the record for disclosure to you (in terms of sec 54(6)) of the Act).
- The fees are set out in the schedule below, marked "Fees payable to Blake Connect (Pty) Limited".

VALUE ADDED TAX: Please note that Blake Connect (Pty) Limited is registered under the Value-Added Tax Act (Act No. 89 of 1991) as a vendor and may in terms thereof add value-added tax (VAT) to all fees prescribed below.

FEES PAYABLE TO BLAKE CONNECT (PTY) LIMITED

1. The fee for a copy of the manual as contemplated in regulation 9(2)(c) is R1,10 for every photocopy of an A4-size page or part thereof.
2. The fees for reproduction referred to in regulation 11(1) are as follows:

	R
(a) For every photocopy of an A4-size page or part thereof	1,10
(b) For every printed copy of an A4-size page or part thereof held on a computer or in electronic or machine-readable form	0,75
(c) For a copy in a computer-readable form on -	
(i) Stiffy disc	7,50
(ii) Compact disc	70,00
(d) (i) For a transcription of visual images, for an A4-size page or part thereof	40,00
(ii) For a copy of visual images	60,00
(e) (i) For a transcription of an audio record, for an A4-size page or part thereof	20,00
(ii) For a copy of an audio record	30,00
3. The request fee payable by a requester, other than a personal requester, referred to in regulation 11(2) is R50,00
4. The access fees payable by a requester referred to in regulation 11(3) are as follows:

	R
(1)(a) For every photocopy of an A4-size page or part thereof	1,10
(b) For every printed copy of an A4-size page or part	

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| | thereof held on a computer or in electronic or machine-readable form | 0,75 |
| (c) | For a copy in a computer-readable form on - | |
| | (i) Stiffy disc | 7,50 |
| | (ii) Compact disc | 70,00 |
| (d) | (i) For a transcription of visual images, for an A4-size page or part thereof | 40,00 |
| | (ii) For a copy of visual images | 60,00 |
| (e) | (i) For a transcription of an audio record, for an A4-size page or part thereof | 20,00 |
| | (ii) For a copy of an audio record | 30,00 |
| (f) | To search for and prepare the record for disclosure, for each hour or part of an hour reasonably required for such search and preparation. | 30,00 |
| (2) | For purposes of section 54(2) of the Act, the following applies: | |
| | (a) Six hours as the hours to be exceeded before a deposit is payable; and | |
| | (b) One third of the access fee is payable as a deposit by the requester. | |
| (3) | The actual postage is payable when a copy of a record must be posted to a requester. | |

8. Prescribed forms

Your request must be set out in the prescribed form. A copy of this prescribed form is set out below.

REQUEST FOR ACCESS TO RECORD OF BLAKE CONNECT (PTY) LTD

(Section 53(1) of the Promotion of Access to Information Act, 2000

(Act No. 2 of 2000))

[Regulation 10]

A. Blake Connect (Pty) Ltd

For attention: Andre Bezuidenhout
 Blake Connect
 Private Bag X27, Umhlanga Rocks, 4320
 Tel: +2731-538-4000
 Fax: + 27866739960

B. Particulars of person requesting access to the record

- (a) *The particulars of the person who requests access to the record must be given below.*
- (b) *The address and/or fax number in the Republic to which the information is to be sent must be given.*
- (c) *Proof of the capacity in which the request is made, if applicable, must be attached.*

Full names and surname: _____
Identity number: _____
Postal address: _____
Physical Address: _____

Fax number: _____ Telephone number: _____
E-mail address: _____
Capacity in which request is made, when made on behalf of another person: _____

C. Particulars of person on whose behalf request is made

This section must be completed ONLY if a request for information is made on behalf of another person.

Full names and surname: _____
Identity number: _____

D. Particulars of record

(a) *Provide full particulars of the record to which access is requested, including the reference number if that is known to you, to enable the record to be located.*
(b) *If the provided space is inadequate, please continue on a separate folio and attach it to this form. **The requester must sign all the additional folios.***

1. **Description of record or relevant part of the record:** _____

2. **Reference number, if available:** _____
3. **Any further particulars of record:** _____

E. Fees

- | |
|---|
| <p>(a) A request for access to a record, other than a record containing personal information about yourself, will be processed only after a request fee has been paid.</p> <p>(b) You will be notified of the amount required to be paid as the request fee.</p> <p>(c) The fee payable for access to a record depends on the form in which access is required and the reasonable time required to search for and prepare a record.</p> <p>(d) If you qualify for exemption of the payment of any fee, please state the reason for exemption.</p> |
|---|

Reason for exemption from payment of fees: _____

F. Form of access to record

If you are prevented by a disability from reading, viewing or listening to the record in the form of access provided for in 1 to 4 hereunder, state your disability and indicate in which form the record is required.

Disability:	Form in which record is required:
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Mark the appropriate box with an **X**.

NOTES:

- (a) Compliance with your request in the specified form may depend on the form in which the record is available.
- (b) Access in the form requested may be refused in certain circumstances. In such a case you will be informed if access will be granted in another form.
- (c) The fee payable for access to the record, if any, will be determined partly by the form in which access is requested.

1. If the record is in written or printed form:

<input type="checkbox"/>	copy of record*	<input type="checkbox"/>	inspection of record
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2. If record consists of visual images

(this includes photographs, slides, video recordings, computer-generated images, sketches, etc.):

<input type="checkbox"/>	view the images	<input type="checkbox"/>	copy of the images*	<input type="checkbox"/>	transcription of the images*
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3. If record consists of recorded words or information which can be reproduced in sound:

<input type="checkbox"/>	listen to the soundtrack (audio cassette)	<input type="checkbox"/>	transcription of soundtrack* (written or printed document)
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4. If record is held on computer or in an electronic or machine-readable form:

	printed copy of record*		printed copy of information derived from the record*		copy in computer readable form* (stiffy or compact disc)	
*If you requested a copy or transcription of a record (above), do you wish the copy or transcription to be posted to you? Postage is payable.					YES	NO

G. Particulars of right to be exercised or protected

If the provided space is inadequate, please continue on a separate folio and attach it to this form. The requester must sign all the additional folios.

1. Indicate which right is to be exercised or protected: _____

2. Explain why the record requested is required for the exercise or protection of the aforementioned right: _____

H. Notice of decision regarding request for access

You will be notified in writing whether your request has been approved/denied. If you wish to be informed in another manner, please specify the manner and provide the necessary particulars to enable compliance with your request.

How would you prefer to be informed of the decision regarding your request for access to the record?

Signed at _____ on this _____ day of _____ 20__

 SIGNATURE OF REQUESTER / PERSON
 ON WHOSE BEHALF REQUEST IS MADE
